Roll No.

Total No. of Questions : 18 Total No. of Pages : 02

BBA (Sem.–5)

FINANCIAL MARKETS & SERVICES

Subject Code : BBA-522-18

M.Code : 78199

Time : 3 Hrs. Max. Marks : 60

INSTRUCTIONS TO CANDIDATES :

1. SECTION-A is COMPULSORY consisting of TEN questions carrying TWO marks each.
2. SECTIONS-B consists of FOUR Sub-sections : Units-I, II, III & IV.
3. Each Sub-section contains TWO questions each, carrying TEN marks each.
4. Student has to attempt any ONE question from each Sub-section.

SECTION-A

Write briefly :

1) Money Market
2) Government Securities
3) Certificate of Deposits
4) a) Hire Purchase Finance
   b) Factoring
5) Bill Discounting
6) Debt securitization
7) Venture Capital
8) Stock Broking
9) Assets under Management (AUM)
10) Financial System

SECTION-B

UNIT-I

11) *"Financial system is backbone of an economy and its efficiency determines the growth of an economy"*. Explain with suitable examples.

12) Write a detailed note on powers and functions of SEBI. Also briefly discuss various measures taken by SEBI for protecting the interest of investors in Indian capital market.

UNIT-II

13) What is Secondary market? Write a detailed note on the trading and settlement system in Indian Stock Market.

14) What are commercial papers? Discuss the functions, advantages and limitations of commercial papers in India.

UNIT-III

15) What is credit rating? Write a detailed note on the various methodologies for assigning credit rating to financial instruments by various credit rating agencies.

16) Differentiate between hire purchase and leasing as a source of finance. Also discuss the methods for calculating Equated Monthly Installments (EMI) in case of hire purchase agreements.

UNIT-IV

17) What is mutual fund? Discuss the structure and functions of mutual fund companies. Also briefly discuss various types of mutual fund schemes offered in India.

18) What is dematerialization? Discuss the process and advantages of dematerialization.

NOTE : Disclosure of Identity by writing Mobile No. or Making of passing request on any page of Answer Sheet will lead to UMC against the Student.

Roll No. [ ]

Total No. of Questions : 09

Total No. of Pages : 02

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SECTION-A

1. **Write briefly :**

   a) Equity Shares

   b) Financial Services

   c) Bills of Exchange

   d) Listing of Securities

   e) Hire Purchase

   f) Factoring

   g) Discounting of Bills

   h) Asset Management Company

   i) Depository

   j) Open Ended Schemes

SECTION-B

UNIT-I

2. Define financial system. Discuss in detail the features and structure of Indian financial system.

3. *'The role of SEBI is to monitor, regulate and control the conduct and operations of capital market'.* Elucidate with suitable examples.

UNIT-II

4. Define government securities. Discuss the features of government securities in India. Briefly discuss the possible reasons why the secondary market for government securities is not developed in India.

5. *'Primary markets enable the body corporates for mobilizing funds and listing of securities provides liquidity to shareholders'.* Explain and discuss various SEBI guidelines for issuing of securities in Indian primary market.

UNIT-III

6. What do you mean by lease financing? Differentiate lease financing from hire purchase. Discuss the merits of lease financing.

7. What do you mean by credit rating? Discuss various methodologies used by credit rating agencies in India for rating the financial securities.

8. What is dematerialization of securities? Discuss the process of dematerialization and re-materialization of securities.

9. What do you mean by mutual fund schemes? Discuss in detail the various types of schemes offered by mutual funds in India.

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